



Invitation to Tender (ITT)

11 November 2022

Invitation to Tender (ITT) # **MOBILIST-RFP-005**

ITT name: **Consultancy support on optimisation of the Environmental and Social Management System (ESMS), with a focus on metric selection and impact measurement, reporting, verification and audit processes to reduce risks related to monitoring bespoke M&E strategies for downstream partners.**

Submission deadline: **December 01, 2022** at 5pm UK time

Dear Tenderer,

Chemonics UK (Chemonics) invites you to submit a Tender for the research into the impact of developed market policy and regulation on emerging and frontier market flows; and the limited evidence of emerging and frontier market perspectives shaping these policies and regulations.

In addition to this Letter of Invitation, the ITT Pack includes:

Volume 1 – Key Procurement Information

Volume 2 - Instructions to Tenderers and Evaluation Criteria

Volume 3 - Terms of Reference (ToR)/Specifications

Volume 4 – Annexes

Annex 1 - Cover Letter (Declarations)

Annex 2 - Chemonics Tendering Requirements and Conditions

Annex 3 - List of Eligible Climate Projects (extract from the Guarantee Policy)

Annex 4 - Example Firm Fixed Price Subcontract Template (Terms and Clauses)

Annex 5 – FCDO (DFID) approach to Value for Money (VfM)

Tenderers are required to read “**Chemonics’ Ethical and Business Conduct requirements**” in Annex 2, Section 1 and declare they have understood and adhere to these conditions in the Cover Letter provided in Annex 1.

This ITT does not obligate Chemonics to execute a contract, nor does it commit Chemonics to pay any costs incurred in the preparation and submission of the proposals.

All inquiries or requests for clarifications relating to this ITT must be made through the Procurement Point of Contact (POC) listed in the Key Procurement Information in Volume 1. Please contact the POC immediately if any of the ITT documents are missing.

Sincerely,

Tara Sabre Collier

Team Leader, MOBILIST Research and Policy Platform programme

Volume 1. Key Procurement Information

ITT number:	MOBILIST-RFP-005
Project Name/No.:	MOBILIST Research and Policy programme referred to as "Project"
Authority/Chemonics Client:	Foreign, Commonwealth and Development Office (FCDO)
Implemented by:	Chemonics International Inc.
Description of commodities or services:	Consultancy support on optimisation of the Environmental and Social Management System (ESMS), with a focus on metric selection and impact measurement, reporting, verification and audit processes to reduce risks related to monitoring bespoke M&E strategies for downstream partners.
Issue date:	November 11, 2022
ITT conference day:	November 23, 2022
ITT clarifications deadline:	November 24, 2022
Submission deadline:	December 01, 2022 at 5.00pm UK time
Email for electronic submissions	mobilistprocurement@ukmobilist.com
Contract type/Pricing methodology:	Fixed Price
Maximum budget for this procurement:	35,000.00 GBP
Plug figures:	N/A
Currency of offer	Offers are expected to be expressed in British Pounds
Estimated period of Performance	3 months
Proposal validity period:	90 days
Anticipated date of award:	December 12, 2022
Anticipated date of mobilisation:	December 19, 2022
Country where Commodities /Services will be delivered	United Kingdom referred to as "Country of Performance"
Procurement point of contact:	Mobilistprocurement@ukmobilist.com referred to as "POC"
Chemonics contact details for the Tenderer or Tenderer Personnel to notify any potential conflict of interest, safeguarding, anti-bribery and corruption or any other compliance concerns	Chemonics Office of Business Conduct Email: businessconduct@chemonics.com Online: www.chemonics.com/reporting Phone/Skype: 888.955.6881 WhatsApp: (+1) 202.355.8974

By submitting a Tender in response to this ITT, the Tenderer represents that they have read, acknowledge, understood, agree to, and will act in accordance with the terms set forth in this ITT, including the "Terms and Conditions of the Tender", as may be modified from time to time, which can be found in Annex 2, Section 3.

Volume 2: Instructions to Tenderers and Evaluation Criteria

1. Introduction:

The goal of the MOBILIST Research and Policy platform (Project) is to build sustainable finance in emerging markets and developing countries at scale through research, communications, advocacy, and monitoring, evaluation, and learning. The platform looks to create the evidence base to help guide the growth of sustainable finance and direct finance towards where it is needed most: developing countries. The Services to be procured under this ITT are required for the Project's implementation as further explained under Volume 3 -Terms of Reference. The Project anticipates issuing a fixed price Subcontract for this work.

Tenderers are responsible for ensuring that their offers are received by Chemonics in accordance with the instructions, terms, and conditions described in this ITT.

2. Submission Procedure for Tenders:

Tenderers shall submit their tenders electronically by the submission deadline and at the email address in Volume 1- Key Procurement Information. The Technical and the Commercial tender must be submitted separately, and the technical proposal must not have any references to cost information.

Electronic submission of the tender must not exceed 3 attachments (5 MB limit) per email compatible with MS Word, MS Excel, readable format, or Adobe Portable Document (PDF) format in a Microsoft XP environment. Tenderers must not submit zipped files. Those pages requiring original manual signatures should be scanned and sent in PDF format as an email attachment.

The font size chosen for your tenders must not be less than Arial font size 10.

3. Eligibility Requirements

- a. The Tenderer must be an organisation incorporated or legally organised under the laws of its place of business and must have proper licenses or registration to deliver the Commodities or Services in the Country of Performance.
- b. No commodities or services shall be eligible for payment if provided by a vendor included on any list of suspended, debarred, or ineligible bidders used by the United Kingdom or the United States Government.
- c. Any and all items that are made by Huawei Technology Company, ZTE Corporation, Hytera Communications Corporation, Hangzhou Hikivision Digital Technology Company, Dahua Technology Company will not be accepted. If tenders include items from these entities, please note that they will be deemed not technically responsive and excluded from competition.
- d. Tenderer must submit the self-declarations and representations included in Annex 1 and shall pass Chemonics due diligence process.

4. Evaluation and Award:

An award will be made to the Tenderer whose proposal is determined to be responsive to this solicitation document and represents value for money to the Project.

In evaluating proposals, Chemonics will use the following evaluation criteria and sub-criteria:

Technical Evaluation Criteria	Maximum Score
<p>1. <i>Technical Approach and Methodology:</i></p> <p>a. Technical know-how – Does the proposal clearly explain and respond to the objectives of the Project as stated in the Terms of Reference?</p> <p>b. Approach and Methodology – Does the proposed approach and detailed activities and timeline fulfil the requirements of executing the Scope of Work effectively and efficiently?</p> <p>c. Sector/ Market Knowledge – Does the proposal demonstrate the Offeror’s sector and market knowledge as required by the scope of work ? This sector/market knowledge includes green debts, guarantee funds, impact measurement systems, environmental and social management systems (ESMS); expertise in policy, standards and regulations relating to development impact (including from the perspective of climate adaptation and mitigation); and network among ESG policy and standards actors</p> <p>d. Proposal Quality – Does the proposal demonstrate understanding of, and alignment with principles of Value for Money? Does the proposal meet or exceed the criteria for delivering excellent quality services with high value for money, as indicated in Annex 5</p> <p>e. Knowledge Dissemination – Does the proposal articulate a compelling approach to knowledge transfer, including requisite networks and track record in deploying impact metrics, impact measurement, reporting, verification and audit processes, ESMS expertise and processes/ procedures to influence market participants, policymakers, and regulators?</p>	45
<p>2. <i>Management, Key Personnel, and Staffing Plan (Team)</i></p> <p>Personnel Qualifications – Do the proposed team members have necessary experience and capabilities to carry out the Scope of Work? Is the proposal staffed with resources experienced in key sectors/areas including green debts, guarantee funds, and ESMS?</p>	20
<p>3. <i>Corporate Capability:</i></p> <p>a. Company background: Does the Tenderer have the corporate capabilities, technical and administrative capacity to perform the Work?</p>	35

b. Past performance: Does the company have a demonstrated track record of engaging with the Green Climate Fund or similar initiatives, experienced with green debts and guarantee funds, and driving the adoption of ESMS standards and practices in the financial and investment sectors?	
Total Technical score	100
Commercial Criteria	
The commercial proposal (Price) will not be scored. Cost/Price will primarily be evaluated for realism and reasonableness. If technical scores are determined to be nearly equal, the commercial proposal will become the determining selection factor. Chemonics will award a contract to the Tenderer whose proposal represents value for money to Chemonics. Chemonics reserves the right to award to a higher priced Tenderer if a determination is made that the higher technical evaluation of that Tenderer merits the additional cost/price.	NA

5. Tender details

The following is the information required to be considered a responsive tender:

5.1 Cover letter: All tenders without exception must include a cover letter using Annex 1 as a basis. The cover letter includes the Subcontractor's declaration and must include all documentation necessary for Chemonics to do its due diligence process. The declaration must be signed by an authorised representative of the company. Chemonics reserves the right to request additional documentation as part of its due diligence.

5.2. Technical Proposal

The technical proposal shall comprise the following parts:

Part 1: Technical Approach and Methodology. This part shall be between 5 and 15 pages long but may not exceed 15 pages. The Tenderer shall describe the company's approach to performing the services as described in the Scope of Work in Section II. This may include but not limited to demonstrating how the tenderer will align with Value for Money, which are delineated within this document. The Technical Approach should also demonstrate how the tenderer will ensure that their deliverables present and engender improvements around metric selection and impact measurement, reporting, verification and audit processes to reduce risks related to monitoring bespoke M&E strategies for the recipient of the MEL technical assistance and its downstream partners/investments.

Part 2: Management, Key Personnel, and Staffing Plan. This part shall be between 2 and 5 pages long but may not exceed 5 pages. CVs for key personnel may be included in an annex to the technical proposal and will not count against the page limit.

Tenderers shall propose staff for the following key personnel positions necessary for the implementation of the scope of work:

- Technical Lead, who will be the single point of contact accountable for both timeliness and quality of the Tenderer's deliverables.
- Quality Assurer, who will provide challenge and feedback to the Technical Lead on draft deliverables and should have specialized expertise relevant to the Scope of Work. This may be an individual internal or external to the Offering entity.

- Project Team, including an appropriate mix of green debt, guarantee bonds, development impact and ESG expertise to deliver the Scope of Work.

Part 3: Corporate Capabilities and Past Performance. This part shall be between 2 and 7 pages long but may not exceed 7 pages. This section must include a description of the company and organization, with appropriate reference to any parent company and subsidiaries. Tenderers must include details demonstrating their experience and technical ability in implementing the proposed technical approach/methodology and the detailed work plan. Additionally, Tenderers must include 2 to 3 past performance references of similar work (under contracts, subcontracts or grants) previously implemented as well as contact information for the companies/donors for which such work was completed. Contact information must include at a minimum: name of point of contact who can speak to the Tenderer's performance, name and address of the company/donor for which the work was performed, and email and phone number of the point of contact.

Chemonics reserves the right to check additional references not provided by the Tenderer.

5.3 Commercial Proposal

The commercial proposal is used to determine which proposals represent value for money and serves as a basis of negotiation before award of an agreement.

The price of the Agreement to be awarded will be all-inclusive price. No profit, taxes, or additional costs can be added after award therefore these costs must be included in the proposal budget. For the commercial proposal, Tenderers must provide a detailed budget showing major line items, i.e., Fees (Gross Daily Rates) and Expenses. The Gross daily rates for productive days included under Fees shall include personnel remuneration and benefits, overhead and profit/margin, if applicable. Expenses may include travel costs, monitoring and evaluation, supplies or equipment, insurance, VAT, etc.

All items, services, etc. must be clearly labelled and included in the total offered price. All cost information must be expressed in the currency in the Key Procurement Information in Volume 1.

The cost proposal shall also include a budget narrative that explains the basis for the estimate of every cost element or line item. Supporting information must be provided in sufficient detail to allow for a complete analysis of each cost element or line item. Chemonics reserves the right to request additional cost information if the evaluation committee has concerns of the reasonableness, realism, or completeness of a Tenderer's proposed cost.

Under no circumstances may cost information be included in the technical proposal.

Volume 3. Terms of Reference

1. BACKGROUND

Mobilising Institutional Capital Through Listed Product Structures' (MOBILIST) is a flagship FCDO programme, recently supported by the Norwegian Agency for Development Cooperation (Norad), to mobilise large scale investment flows to emerging markets and developing countries (EMDCs) towards achieving the SDGs. It's overarching strategic objective is to address the SDG finance gap by targeting institutional capital and listed markets.

The MOBILIST programme has two pillars:

- A Product Platform which sources, selects and supports (S3 process) relevant financial institutions through the listing process with advisory and technical assistance
- A Research and Policy Platform with supports the EMDC sustainable finance ecosystem through research, monitoring evaluation and learning [MEL], and communications/advocacy.

The MOBILIST Research and Policy Platform (MPoP) is focused on identifying knowledge gaps, prioritising research requirements, and commissioning and disseminating evidence to enable capital flows into listed emerging frontier market (EM/FM) products. Additionally, MPoP is charged with providing MEL Technical Assistance (TA) to participants in the Product Platform's competition process.

These MEL TA services are intended to:

- Support the due diligence process and FCDO/investees' understanding of current ESG and impact measurement systems, as well as their alignment with FCDO and the programme's ToC
- Support investees¹ to improve development impact measurement systems, including for the purposes of FCDO MEL reviews, as well as for broader investor reporting and strategic positioning
- Support investees in improving ESG metrics, processes and systems, which is hoped can support both capital raising, risk mitigation and financial/social/environmental performance

Ultimately, the intention is for the MEL TA to enable investees' likelihood of success of listing and capital mobilisation in the medium term. Accordingly, the MEL TA services are required prior to listing of the investment products.

2. SCOPE OF WORK

The MOBILIST programme is in the process of evaluating an investment and provision of technical assistance to a financial intermediary that will provide guarantees to green bonds, loans and securitisations issued from developing countries and be listed on the London Stock Exchange. The intermediary is a new entity which will become operational once the equity capital has been raised

¹ Investees refer to all entities that receive MOBILIST investment. Investees are participants in the MOBILIST's S3 process and originators of financial products that may benefit from MOBILIST investments.

from investors including MOBILIST, now having obtained a conditional investment grade credit rating (BBB/Stable) in principle from a global rating agency.

The intermediary has a transaction scorecard, risk management, and impact measurement policies that have been presented and are in line with ESG and development impact standards. However, the intermediary does not yet have all the key ESG personnel in place. As a result, it also does not yet have a full Environmental and Social Management System (ESMS) in place, which would provide critical clarifications to policies that are already set out in its proposal documents and allow it to fully meet the ESG and development impact standards (including from the perspective of climate adaptation and mitigation). A critical limitation to mobilisation is the intermediary's capacity to create custom M&E strategies for its clients and deliver the required remediation for its clients' guarantee approval, due to the aforementioned personnel and policy gaps.

The recommended technical assistance intervention is consultancy support on optimisation of the ESMS, with a focus on metric selection and impact measurement, reporting, verification and audit processes to reduce risks related to monitoring bespoke M&E strategies for each investment, given limited internal capacity. This assistance would improve the intermediary's investment screening, monitoring, measuring and reporting capacities and overall performance of the Intermediary, and therefore its attractiveness to institutional investors. The target outcome of this consultancy would be an outline of fundamental sections and policies for the ESMS given MOBILIST's IFC and development impact standards, identification and discussion of necessary policies relevant to green bond funds and guarantee funds, and particularly specific recommendations regarding impact metrics, as well as impact measurement, reporting, verification and audit processes to reduce risks related to monitoring bespoke M&E strategies for each investment given limited internal capacity. This outcome will be delivered across three deliverables which provide benchmarks, refine fit-for-purpose methodologies and then consolidate findings into an initial guidance document for the ESMS.

3. DELIVERABLES DESCRIPTION

Deliverable No. 1: Interim report focused on Literature review and market analysis to establish climate mitigation and adaptation indicators for focus sectors.

Phase one of the engagement will be comprised of a literature review regarding good and best practices for ESMS within green debt and guarantee funds, with a focus on relevant metrics to measure, report and verify climate mitigation and adaptation indicators for each of the intermediary's 5 focus sectors (Energy, Transport, Water, Buildings and Waste & Pollution Control), see annex 3.

This will be complemented by primary data collection with green debt funds and guarantee funds to provide benchmarks that can be presented to the intermediary. Initial findings across the literature review and 5-10 benchmarks will be synthesised in an interim report that captures main ESMS pillars, comparable metrics and particularly comparable measurement, reporting and verification practices for the intermediary's consideration. In sum, we expect a 7-15 interim report with sections including the following:

- Background and market trends
- Primary research findings (green debt)
- Primary research findings (guarantee funds)
- Secondary research findings
- Emerging trends and challenges for ESMS
- Initial recommendations

The report would be subjected to up to 2 rounds of review and feedback

Deliverable No. 2: Climate Impact Measurement, Reporting and Verification Framework

Phase two of the engagement will consist of assessing initial findings in consultation with key stakeholders (the intermediary and relevant investors) to distil the proposed set of metrics and measurement, reporting and verification practices. This assessment should consider how the metrics and measurement, reporting and verification practices align with the intermediary's strengths, weaknesses, opportunities and threats. These recommendations, metrics and practices will be distilled into a draft climate impact measurement, reporting and verification framework, which will serve as a foundational guidance document for the incoming ESG personnel.

The framework would be subjected to up to 3 rounds of review and feedback.

Deliverable No. 3: User Manual for Climate Impact Measurement, Reporting and Verification Framework

Phase three of the engagement will consist of developing a user manual for the climate impact measurement, reporting and verification framework which provides clear guidance for the reader on how to use the framework effectively on transactions. At a minimum, the 20–40-page user manual should cover the following:

- Introductions
- Description of framework
- Procedural steps
- Application of framework to sample transactions
- Maintenance and update requirements
- Glossary

The user manual would be subjected to up to 3 rounds of review and feedback.

4. PRICING AND DELIVERABLE SCHEDULE

Chemonics will make each payment in accordance with and as described in the table below, after Vendor's/Subcontractor's completion and Chemonics acceptance of the corresponding deliverable as indicated.

Deliverable Number and Name	% Deliverable price (excluding VAT)	Due date
1. Interim report focused on literature review and market analysis to establish climate mitigation and adaptation indicators for focus sectors	18% - 22%	January 15, 2023
2. Climate Impact Measurement, Reporting and Verification Framework	47% - 51%	February 05, 2023
3. Final Presentation & User Manual for Climate Impact Measurement, Reporting and Verification Framework	29% - 33%	March 01, 2023

Chemonics will pay invoices within thirty (30) calendar days after both a) Chemonics' approval of the Commodities or acceptance of Services, and b) Chemonics' receipt of a valid invoice.

5. DUTY OF CARE

The Vendor/Subcontractor will be responsible for their own safety and well-being and Third Parties affected by the Vendor/Subcontractor's activities under any resulting Agreement, including appropriate security arrangements. The Vendor/Subcontractor will also be responsible for providing suitable security arrangements for their domestic and business property.

MOBILIST Research and Policy Platform**MOBILIST-RFP-005**

Partner Selection Questionnaire

Potential Subcontractor Information and Exclusion Grounds: Part 1 and Part 2

This Partner Selection Questionnaire is a self-declaration, made by you (the Potential Subcontractor), that you do not meet any of the grounds for exclusion². If there are grounds for exclusion, there is an opportunity to explain the background and any measures you have taken to rectify the situation.

A completed declaration of Part 1 and Part 2 provides a formal statement that the organisation making the declaration has not breached any of the exclusion grounds. We require all subcontractors that we will rely on to meet the selection criteria set forth in the above-referenced Invitation to Tender to provide a completed Part 1 and Part 2.

If you seriously misrepresent any factual information in filling in the Partner Selection Questionnaire, and so induce Chemonics International Inc. to enter into a subcontract, you may be excluded from the procurement procedure and from partnering with Chemonics in the future. If a subcontract has been entered into, the subcontract may be terminated for default.

Part 1: Potential Subcontractor Information

Section 1.1	Potential Subcontractor information	
Question number	Question	Response
1.1(a)	Full name of the Potential Subcontractor submitting the information	
1.1(b) – (i)	Registered office address (if applicable)	
1.1(b) – (ii)	Registered website address (if applicable)	
1.1(c)	Trading status a) public limited company b) limited company c) limited liability partnership d) other partnership e) sole trader f) third sector g) other (please specify your trading status)	
1.1(d)	Date of registration in country of origin	
1.1(e)	Company registration number (if applicable)	
1.1(f)	Charity registration number (if applicable)	
1.1(g)	Head office DUNS number (if applicable)	
1.1(h)	Registered VAT number	
1.1(i) - (i)	If applicable, is your organisation registered with the appropriate professional or trade register(s) in the member state where it is established?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>

²For the list of exclusion please see

https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/551130/List_of_Mandatory_and_Discretionary_Exclusions.pdf

Section 1.1		Potential Subcontractor information
Question number	Question	Response
1.1(i) - (ii)	If you responded yes to 1.1(i) - (i), please provide the relevant details, including the registration number(s).	
1.1(j) - (i)	Is it a legal requirement in the state where you are established for you to possess a particular authorisation, or be a member of a particular organisation in order to provide the services specified in this procurement?	Yes <input type="checkbox"/> No <input type="checkbox"/>
1.1(j) - (ii)	If you responded yes to 1.1(j) - (i), please provide additional details of what is required and confirmation that you have complied with this.	
1.1(k)	Trading name(s) that will be used if successful in this procurement	
1.1(l)	Relevant classifications (state whether you fall within one of these, and if so which one) a) Voluntary Community Social Enterprise (VCSE) b) Sheltered Workshop c) Public service mutual	
1.1(m)	Are you a Small, Medium or Micro Enterprise (SME) ³ ?	Yes <input type="checkbox"/> No <input type="checkbox"/>
1.1(n)	Details of Persons of Significant Control (PSC), where appropriate: ⁴ - Name; - Date of birth; - Nationality; - Country, state or part of the UK where the PSC usually lives; - Service address; - The date he or she became a PSC in relation to the company (for existing companies the 6 April 2016 should be used); - Which conditions for being a PSC are met; - Over 25% up to (and including) 50%, - More than 50% and less than 75%, - 75% or more. ⁵ (Please enter N/A if not applicable)	
1.1(o)	Details of immediate parent company: - Full name of the immediate parent company - Registered office address (if applicable) - Registration number (if applicable) - Head office DUNS number (if applicable) - Head office VAT number (if applicable) (Please enter N/A if not applicable)	

³ See EU definition of SME https://ec.europa.eu/growth/smes/business-friendly-environment/sme-definition_en

⁴ UK companies, Societates European (SEs) and limited liability partnerships (LLPs) will be required to identify and record the people who own or control their company. Companies, SEs and LLPs will need to keep a PSC register, and must file the PSC information with the central public register at Companies House. [See PSC guidance.](#)

⁵ Central Government contracting authorities shall use this information to have the PSC information for the preferred Subcontractor checked before award.

Section 1.1		Potential Subcontractor information
Question number	Question	Response
1.1(p)	Details of ultimate parent company: - Full name of the ultimate parent company - Registered office address (if applicable) - Registration number (if applicable) - Head office DUNS number (if applicable) - Head office VAT number (if applicable) (Please enter N/A if not applicable)	

Section 1.2		Contact details
Question number	Question	Response
1.2(a)	Contact name	
1.2(b)	Name of organisation	
1.2(c)	Role in organisation	
1.2(d)	Phone number	
1.2(e)	E-mail address	
1.2(f)	Postal address	

Part 2: Exclusion Grounds

Please answer the following questions in full. Note that every organisation that is being relied on to meet the selection must complete and submit the Part 1 and Part 2 self-declaration.

Section 2		Grounds for mandatory exclusion
Question number	Question	Response
2.1(a)	Regulations 57(1) and (2) The detailed grounds for mandatory exclusion of an organisation are set out on this webpage , which should be referred to before completing these questions. Please indicate if, within the past five years you, your organisation or any other person who has powers of representation, decision or control in the organisation been convicted anywhere in the world of any of the offences within the summary below and listed on the webpage .	
	Participation in a criminal organisation.	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes please provide details at 2.1(b)
	Corruption.	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes please provide details at 2.1(b)
	Fraud.	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes please provide details at 2.1(b)
	Terrorist offences or offences linked to terrorist activities	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes please provide details at 2.1(b)

Section 2		Grounds for mandatory exclusion	
Question number	Question	Response	
	Money laundering or terrorist financing	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes please provide details at 2.1(b)	
	Child labour and other forms of trafficking in human beings	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes please provide details at 2.1(b)	
2.1(b)	If you have answered yes to question 2.1(a), please provide further details. Date of conviction, specify which of the grounds listed the conviction was for, and the reasons for conviction, Identity of who has been convicted If the relevant documentation is available electronically please provide the web address, issuing authority, precise reference of the documents.		
2.2	If you have answered Yes to any of the points above have measures been taken to demonstrate the reliability of the organisation despite the existence of a relevant ground for exclusion? (Self Cleaning)	Yes <input type="checkbox"/> No <input type="checkbox"/>	
2.3(a)	Regulation 57(3) Has it been established, for your organisation by a judicial or administrative decision having final and binding effect in accordance with the legal provisions of any part of the United Kingdom or the legal provisions of the country in which the organisation is established (if outside the UK), that the organisation is in breach of obligations related to the payment of tax or social security contributions?	Yes <input type="checkbox"/> No <input type="checkbox"/>	
2.3(b)	If you have answered yes to question 2.3(a), please provide further details. Please also confirm you have paid, or have entered into a binding arrangement with a view to paying, the outstanding sum including where applicable any accrued interest and/or fines.		

Section 3		Grounds for discretionary exclusion	
Question number	Question	Response	
3.1	Regulation 57 (8) The detailed grounds for discretionary exclusion of an organisation are set out on this webpage , which should be referred to before completing these questions. Please indicate if, within the past three years, anywhere in the world any of the following situations have applied to you, your organisation or any other person who has powers of representation, decision or control in the organisation.		
3.1(a)	Breach of environmental obligations?	Yes <input type="checkbox"/>	

Section 3		Grounds for discretionary exclusion
Question number	Question	Response
		No <input type="checkbox"/> If yes please provide details at 3.2
3.1 (b)	Breach of social obligations?	Yes <input type="checkbox"/> No <input type="checkbox"/> If yes please provide details at 3.2
3.1 (c)	Breach of labour law obligations?	Yes <input type="checkbox"/> No <input type="checkbox"/> If yes please provide details at 3.2
3.1(d)	Bankrupt or is the subject of insolvency or winding-up proceedings, where the organisation's assets are being administered by a liquidator or by the court, where it is in an arrangement with creditors, where its business activities are suspended or it is in any analogous situation arising from a similar procedure under the laws and regulations of any State?	Yes <input type="checkbox"/> No <input type="checkbox"/> If yes please provide details at 3.2
3.1(e)	Guilty of grave professional misconduct?	Yes <input type="checkbox"/> No <input type="checkbox"/> If yes please provide details at 3.2
3.1(f)	Entered into agreements with other economic operators aimed at distorting competition?	Yes <input type="checkbox"/> No <input type="checkbox"/> If yes please provide details at 3.2
3.1(g)	Aware of any conflict of interest within the meaning of regulation 24 due to the participation in the procurement procedure?	Yes <input type="checkbox"/> No <input type="checkbox"/> If yes please provide details at 3.2
3.1(h)	Been involved in the preparation of the procurement procedure?	Yes <input type="checkbox"/> No <input type="checkbox"/> If yes please provide details at 3.2
3.1(i)	Shown significant or persistent deficiencies in the performance of a substantive requirement under a prior public contract, a prior contract with a contracting entity, or a prior concession contract, which led to early termination of that prior contract, damages or other comparable sanctions?	Yes <input type="checkbox"/> No <input type="checkbox"/> If yes please provide details at 3.2
3.1(j)	Please answer the following statements	
3.1(j) - (i)	The organisation is guilty of serious misrepresentation in supplying the information required for the verification of the absence of grounds for exclusion or the fulfilment of the selection criteria.	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes please provide details at 3.2
3.1(j) - (ii)	The organisation has withheld such information.	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes please provide details at 3.2

Section 3		Grounds for discretionary exclusion
Question number	Question	Response
3.1(j) - (iii)	The organisation is not able to submit supporting documents required under regulation 59 of the Public Contracts Regulations 2015.	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes please provide details at 3.2
3.1(j)-(iv)	The organisation has influenced the decision-making process of the contracting authority to obtain confidential information that may confer upon the organisation undue advantages in the procurement procedure, or to negligently provide misleading information that may have a material influence on decisions concerning exclusion, selection or award.	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes please provide details at 3.2
3.2	If you have answered Yes to any of the above, explain what measures been taken to demonstrate the reliability of the organisation despite the existence of a relevant ground for exclusion? (Self-Cleaning)	

[letter headed notepaper]
Subcontractor Declaration

To: Chemonics International Inc.

Date: **[Date]**

I confirm that:

- We have agreed in principle with Chemonics International Inc., to deliver the following elements of the service described in their tender:
 - **Consultancy support on optimisation of the Environmental and Social Management System (ESMS), with a focus on metric selection and impact measurement, reporting, verification and audit processes to reduce risks related to monitoring bespoke M&E strategies for downstream partners.**
- I have read and understood the specification for the elements of provision, which my organisation will deliver.
- I have agreed in principle with the terms of delivery for this provision and am content that reasonable and appropriate price and payment terms will be negotiated and agreed for delivery of these services at the Invitation to Tender stage.
- The agreement in principle allows for my organisation to implement the appropriate level of planning and investment to deliver the service.
- The agreement made is consistent with the general principles set out in the DFID Code of Conduct.

In relation to personnel nominated to work on this project, my organisation confirms that:

- All personnel will be available to provide the required services for the duration of the subcontract.
- If nominating a member of the Foreign, Commonwealth and Development Office (FCDO) staff who is in service, or on leave of absence, or has been a staff member of FCDO within the past 2 years, the individual shall obtain prior written agreement from FCDO's Human Resources Department. A copy of this agreement must be provided to Chemonics International Inc.
- If nominating an ex-UK Crown Servant who has left the service within the past 2 years, my organisation shall provide to Chemonics International Inc. a letter from their Crown Service employer granting permission for them to undertake the services.

I declare that:

- To the best of my knowledge the answers submitted, and information contained in this document are correct and accurate.
- Upon request and without delay I will provide the certificates or documentary evidence referred to in this document.

I understand that:

- The information contained in this document will be used in the selection process to assess my organisation's suitability to be invited to participate further in this procurement.
- Chemonics International Inc. may reject this submission in its entirety if there is a failure to answer all the relevant questions fully, or if false/misleading information or content is provided in any section.

I am aware of the consequences of serious misrepresentation.

By attaching this declaration, my organisation will be deemed to agree to their contents.

Signature:	[Person signing this declaration should be authorised to sign contractual agreements on behalf of their organisation]
Name:	

Title:	
Name of Organisation	

Annex 2 - Chemonics Tendering Conditions:

The following Ethical and Business Conduct requirements, Definitions and Terms form a material part of the ITT.

Section .1 Ethical and Business Conduct Requirements

Chemonics is committed to integrity in procurement, and only selects suppliers based on objective business criteria such as price and technical merit. Chemonics expects suppliers to comply with our Standards of Business Conduct, available at <https://www.chemonics.com/our-approach/standards-business-conduct/> and the FCDO Supplier Code of Conduct available at: https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/926545/Supply-Partner-Code-of-Conduct-FCDO-oct20.pdf.

Chemonics does not tolerate fraud, collusion among Tenderers, falsified proposals/bids, bribery, or kickbacks. Any firm or individual violating these standards will be disqualified from this procurement, barred from future procurement opportunities, and may be reported to Chemonics' Client.

Tenderers will ensure they comply with all applicable laws and regulations in force of the country in which the Tenderers is registered and the country where the services are to be wholly or partially performed, including, but not limited to tax laws, environmental, legal and social obligations, anti-corruption laws, anti-bribery laws and anti-terrorism laws. The Tenderers also represents that neither it, nor to the best of its knowledge any of its personnel, servants or agents or any person acting on its behalf, have at any time prior to and during the term of this proposal appeared on the Home Office Proscribed Terrorist Organisations List and/or the Consolidated United Nations Security Council Sanctions List.

The Tenderers must certify that the Tenderers, or its principals, is not debarred, suspended, or proposed for debarment or declared ineligible for award by any UK department or agency or by the U.S. OFAC (Office of Foreign Assets Control List) of Specially Designated Nationals and Blocked Persons at <http://www.treas.gov/offices/enforcement/ofac/sdn>.

Chemonics takes a zero-tolerance approach towards human trafficking consistent with the United Kingdom Government's anti-trafficking and safeguarding efforts guided by Safeguarding Vulnerable Groups Act 2006. The Tenderers shall therefore comply with, all applicable anti-slavery and human trafficking laws, statutes, regulations and codes from time to time in force including the Modern Slavery Act 2015.

The Tenderers warrants that at the time of submitting this proposal they are not aware of any present or future matter that may give rise to any real or perceived conflict of interest with this proposal and/or the Project. If a real or perceived conflict of interest arise, the Tenderers will notify Chemonics immediately in writing.

Employees and agents of Chemonics are strictly prohibited from asking for or accepting any money, fee, commission, credit, gift, gratuity, object of value or compensation from current or potential vendors or suppliers in exchange for or as a reward for business. Employees and agents engaging in this conduct are subject to termination and will be reported to Chemonics' Client. In addition, Chemonics will inform its Client of any supplier offers of money, fee, commission, credit, gift, gratuity, object of value, or compensation to obtain business.

Please contact the Point of Contact or Chemonics Office of Business Conduct listed in the Key Procurement Information with any questions or concerns regarding the above information or to report any potential violations.

Section 2. ITT Definitions

The following definitions apply to Chemonics invitations to tender as applicable:

- a. "Agreement" refers to a contract that will be executed as a result of this tendering process between Chemonics (Buyer) and the selected Tenderer (Seller). It can be a Subcontract, or a Vendor Agreement as defined below.
- b. "Anticipated date of award" is the date that Chemonics expects to sign the Agreement with the Vendor/Subcontractor.
- c. "Anticipated date of mobilisation" is the date Chemonics expects the Vendor/Subcontractor to commence work.
- d. "Authority or Chemonics Client" means an entity that has contracted Chemonics to implement the Project referenced on the cover page.
- e. "Chemonics" acting as the "Buyer" means Chemonics International Inc., Chemonics U.K. or a branch or subsidiary implementing the Project.
- f. "Commodities" means the supplies or items set out in Volume 3 and such other items as the parties may agree in writing from time to time to be delivered by the Vendor/Subcontractor.
- g. "Country of Performance" means the country(ies), identified in the Key Procurement Information, where the Services are rendered and/or Commodities will be used.
- h. "Eligible Vendor/Subcontractor" means an entity that complies with the ITT eligibility requirements and that has passed Chemonics due diligence process.
- i. "FCDO" means Foreign Commonwealth & Development Office and includes any successor departments of the U.K. Government.
- j. "Framework agreement or Blanket Purchase Agreement (BPA)" is a draw-down or umbrella type agreement that establishes the pricing, terms, and conditions for the as-needed purchases. This agreement allows the Project to issue specific purchase orders, on an as-needed basis, for the procurement of Commodities/Services over the Period of Performance. Chemonics is only obligated to pay for Commodities/Services ordered through purchase orders issued under this agreement and delivered by the Vendor in accordance with the terms & conditions. All unit prices included in the Tender must remain fixed for the initial Period of Performance of the Framework.
- k. "In-Person Presentations" means an invitation for the best ranked Tenderers to present their proposed technical proposal.
- l. "ITT Clarifications" is the opportunity Tenderers have to ask questions on the ITT. Questions or clarifications shall only be sent to the Point of Contact and no later than the date and time specified in the Key Procurement Information. To ensure equity, responses will be notified to all Tenderers (where deemed appropriate by Chemonics) without disclosure of the initiator. Only written answers from Chemonics will be considered official and carry weight in the tendering process and subsequent evaluation. Any answers received outside the official channel, whether received verbally or in writing, from employees or representatives of Chemonics or any other party, will not be considered official responses regarding this ITT.
- m. "ITT Conference day" means a conference set prior to the Submission deadline where Tenderers are invited to discuss the conditions of the ITT and the Terms of Reference.
- n. "Legal Services Agreement or LSA" constitutes a contract issued to provide legal advice for Project implementation on an as-needed basis.
- o. "Maximum Budget" is the maximum amount available or authorised for the total procurement. Tenders are expected to be below this amount unless specified in the ITT.
- p. "Plug Figure" is an amount of money that the Project expects to be budgeted or reflected in the proposed budget. i.e., travel costs for £25,000 or a programmatic audit for £5,000.

- q. "Proposal validity" means a period, expressed in calendar days, in which proposed prices must remain valid after the ITT deadline. If an evaluation process is delayed, Chemonics reserves the right to request extension of the offer validity period.
- r. "Project" is the project that Chemonics is engaged to deliver pursuant to the provisions of the Prime Contract.
- s. "Purchase Order or PO" constitutes an agreement issued which includes a description of the Commodities and/or Services and the Schedule of Prices stipulated for the individual order.
- t. "Services" means the services set out in Volume 3 to be delivered by the Vendor/ Subcontractor.
- u. "Subcontract" means a contractual agreement for services or facilities which *are material* for the provision of services under the head contract with the Authority.
- v. "Subcontractor" means the entity selected by Chemonics to supply the Commodities and/or Services under a Subcontract resulting from this ITT.
- w. "Time and Materials Vendor Services Agreement or VSA" constitutes a contract issued which includes a description of the Services and the Workdays being Ordered. The Schedule of Prices is expressed in Time (Gross daily rates) and Materials (expenses).
- x. "Transportation Services Agreement or TSA" constitutes a contract issued to provide transportation (car plus driver) for Project implementation.
- y. "Tenderer" means the entity submitting a tender or bidding under this ITT.
- z. "U.K." means the United Kingdom including its provinces, states and territories.
- aa. "U.S." or "United States" means the United States of America, including any State(s) of the United States, the District of Columbia, and areas of U.S.-associated sovereignty, including commonwealths, territories and possessions.
- bb. "Value for Money or VfM" is defined as the "optimum combination of whole-life cost and quality to meet requirements in a fully transparent manner". The concept of VfM also includes the "4 E".
 - i. Economy: ensuring how teams will gather up-to-date information on cost drivers to deliver the most economical approach.
 - ii. Efficiency: project learning exercises reflect operational lessons to improve efficiency of the delivery strategy.
 - iii. Effectiveness: present Key \Performance Indicators (KPI) and project indicators that show how the project is achieving results.
 - iv. Equity: how the project is addressing gender equality, disability, and leaving no one behind.
- cc. "Vendor" means the entity selected by Chemonics to supply the Commodities and/or Services under a vendor agreement resulting from this ITT. Examples of vendor agreements are PO, VSA, TSA, LSA as defined herein.
- dd. "Vendor Agreement" means a contractual agreement for ancillary services or commodities which *are not material* for the provision of services under the head contract.

Section 3. Terms and Conditions of the Tender

The following terms and Conditions apply to this ITT

- a) This is an invitation to tender (ITT) only. Issuance of this ITT does not in any way obligate Chemonics, the Project, or Chemonics Client to make an award or nor does it commit Chemonics to pay any costs incurred in the preparation and submission of the proposals. *
- b) Chemonics may cancel this ITT at any time.
- c) Late tenders may be considered at the discretion of Chemonics.
- d) Chemonics reserves the right to reject any and all offers, if such action is considered to be in the best interest of Chemonics.
- e) If there are significant deficiencies regarding responsiveness to the requirements of this ITT, a tender may be deemed “non-responsive” and thereby disqualified from consideration. Chemonics reserves the right to waive immaterial deficiencies at its discretion.
- f) Complaints/Appeals: The Tenderer agrees that any complaint or appeal hereunder must be presented—in writing with full explanations—to the Point of Contact in the Key Procurement Information in Volume 1 for consideration. Chemonics, at its sole discretion, will make a final decision on the complaints/appeals for this procurement. By submitting a Tender hereunder, the Tenderer understands that the Authority/Chemonics Client is not a party to this solicitation and will not entertain appeals from Vendors/Subcontractors.
- g) Tenders must provide their best offer. It is anticipated that award will be made solely based on these original offers. However, Chemonics reserves the right to conduct negotiations with and/or request clarifications from any Tenderer prior to award.
- h) The Agreement issued by Chemonics will contain provisions required by the Authority and will be subject to Chemonics’ standard terms and conditions. [A copy of the full terms and conditions is attached separately to this RFP](#)
- i) An Agreement resulting from this ITT will only be issued to the entity submitting the tender in response to this ITT; payments for Commodities or Services provided under such will not be issued to a third party.
- j) For Framework agreements only: Any award resulting from this ITT will be in the form of a Framework Agreement (FA). The Project anticipates issuing a FA (or multiple FAs) under which specific purchase orders can be issued—on a demand driven basis—at the pricing levels established in the FA. When the need arises for the commodities/services described in the FA, the Project will issue a purchase order to the FA-holder. If there are multiple FA-holders as a result of this ITT, the purchase order will be issued to the FA-holder that presents value for money for that specific order, based on price and delivery time.
- k) Tenders are encouraged to view [Chemonics Data Privacy Policies](#) to see how we process your personal data.

Annex 3 - List of Eligible Climate Projects (extract from the Guarantee Policy)

GGC can provide guarantees to cover the following list of Eligible Climate Projects which are required to comply with the Screening Indicator to be considered eligible.

Sector	Asset Type	Asset Specifics	Paris Agreement Compliant	Screening Indicator
ENERGY				
Solar	Generation facilities (power and heat)	Photovoltaic generation facilities (onshore)	Compatible if compliant with screening indicator	Facilities shall have no electricity generated from non-renewable sources.
		Concentrated solar power facilities (onshore)		
	Supply chain facilities	Manufacturing facilities wholly dedicated to onshore solar energy development such as PV cells components, CS dishes, troughs and components etc	Compatible	
			Compatible	
	Infrastructure	Dedicated transmission infrastructure	Compatible	
		Dedicated supporting infrastructure including inverters, transformers, energy storage systems and control systems	Compatible	
Wind	Generation facilities (power and heat)	Onshore wind farms	Compatible	
	Supply chain facilities	Manufacturing facilities wholly dedicated to onshore wind energy development such as turbines	Compatible	
		Dedicated storage, distribution, installation, wholesale and retail		
	Infrastructure		Compatible	

Sector	Asset Type	Asset Specifics	Paris Agreement Compliant	Screening Indicator
		Dedicated transmission infrastructure		
		Dedicated supporting infrastructure		
Geothermal	Generation facilities (power and heat)	Electricity generation facilities	Compatible if compliant with screening indicator	Direct emissions less than 100gCO2/kWh
	Supply chain facilities	Manufacturing facilities wholly dedicated to geothermal energy development such as geothermal turbines	Compatible	
		Dedicated storage, distribution, installation, wholesale and retail		
	Infrastructure	Dedicated transmission infrastructure	Compatible	
Dedicated supporting infrastructure				
Bioenergy (See Exclusion Criteria)	Facilities producing biofuel, biomass, biogas including fuel preparation process facilities, pretreatment facilities and biorefinery facilities (if ≥50% biomass based products produced for energy use)	Facilities producing liquid biofuel, solid and gaseous biomass for heating and cogeneration	Compatible if compliant with screening indicator	80% GHG emission reduction compared to fossil fuel baseline AND Biofuel must be sourced from a sustainable feedstock (the only timber feedstock allowed is waste wood)
		Facilities producing liquid biofuel, solid and gaseous biomass for electricity production		
		Facilities producing biofuel for transport		
	Generation facilities (power, heat & cooling)	Electricity generation facilities such as biomass power station	Compatible if compliant with screening indicator	

Sector	Asset Type	Asset Specifics	Paris Agreement Compliant	Screening Indicator
		Heating facilities	Compatible if compliant with screening indicator	80% GHG emission reduction compared to fossil fuel baseline AND Biofuel must be sourced from a sustainable feedstock (the only timber feedstock allowed is waste wood)
		Cooling facilities		
		Combined Heat and Power facilities		
	Supply chain facilities	Manufacturing facilities wholly dedicated to bioenergy development	Compatible	
		Dedicated storage, distribution, installation and wholesale and retail		
	Infrastructure	Dedicated transmission infrastructure	Compatible	
Dedicated supporting infrastructure				
Transmission & Distribution	Infrastructure	<p>Construction, upgrading or operation of:</p> <ul style="list-style-type: none"> • Overhead lines (conductors and insulators) and pylons • Transformers, reactors and substations • Underground cables • Circuit breakers and switchgear • Sub-stations, buildings, fences and busbars • Fuses, circuit breakers, disconnectors, reactors, capacitors, transformers, voltage regulators and switchgear 	Compatible if compliant with screening indicator	It is a dedicated connection to a power production plant that qualifies as an Eligible Climate Project (e.g. Solar)
		Interconnectors between transmission systems	Compatible if compliant with screening indicator	It is a dedicated connection to a power production plant that qualifies as an Eligible Climate Project (e.g. Solar)

Sector	Asset Type	Asset Specifics	Paris Agreement Compliant	Screening Indicator
		Installation of T&D transformers	Compatible if compliant with screening indicator	Correspond to or comply with the Tier 2 (2021) requirements from EU Regulation 548/2014 on the eco-design of small, medium and large power transformers and, for medium power transformers with highest voltage for equipment not exceeding 36 kV, with AAA0 level requirements on no-load losses set out in standard EN 50588- 1, or equivalent
		Equipment and infrastructure where the main objective is an increase of the generation or use of renewable electricity generation	Compatible	
		Equipment to increase the controllability and observability of the electricity system and enable the development and integration of renewable energy sources. This includes:	Compatible	
		Sensors and measurement tools (including meteorological sensors for forecasting renewable production)	Compatible	
		Communication and control (including advanced software and control rooms, automation of substations or feeders, and voltage control capabilities to adapt to more decentralised renewable infeed)	Compatible	
		Equipment to carry information to users for remotely acting on consumption	Compatible	
			Compatible	

Sector	Asset Type	Asset Specifics	Paris Agreement Compliant	Screening Indicator
		Equipment to allow for exchange of renewable electricity between users		
Marine Renewables	Generation facilities (power, heat & cooling)	Offshore wind farms	Compatible	
		Offshore solar farms	Compatible	
		Tidal and wave energy generation facilities	Compatible	
		Other marine electricity generation facilities using ocean thermals, salinity, gradients, etc	Compatible	
		Heating and cooling facilities using ocean thermals	Compatible if compliant with screening indicator	Must achieve an 80% reduction in gCO2e/kWh compared to fossil fuel alternative
Storage	Infrastructure	Batteries, capacitors, compressed air storage and flywheels	Compatible if compliant with screening indicator	It is a dedicated connection to a power production plant that qualifies as an Eligible Climate Project (e.g. Solar)
		Large scale energy storage facilities		
		Manufacture facilities dedicated to any of the above	Compatible	
Sector	Asset Type	Asset Specifics	Paris Agreement Compliant	Screening Indicator
TRANSPORT				
Private Passenger Transport (See Exclusion Criteria)	Vehicles	Electric passenger and freight vehicles	Compatible	
		Hydrogen passenger and freight vehicles	Compatible	
	Supply chain facilities		Compatible	

Sector	Asset Type	Asset Specifics	Paris Agreement Compliant	Screening Indicator
		Dedicated manufacturing facilities for vehicles and key components, such as batteries, being used in eligible vehicles		
	Infrastructure	Dedicated charging and alternative fuel infrastructure (when separate from fossil fuel filling stations and garages)	Compatible	
Public Passenger Transport (See Exclusion Criteria)	Trains	Rolling stock and vehicles for electrified public transport, such as electrified rail, trams, trolleybuses and cable cars	Compatible	
	Buses	Buses with no direct emissions (electric or hydrogen)	Compatible	
	Supply chain facilities	Dedicated manufacturing facilities for rolling stock, buses or key components such as batteries, being used in eligible vehicles	Compatible	
	Infrastructure	Dedicated infrastructure for electrified public transport	Compatible	
	Infrastructure	Dedicated charging and alternative fuel infrastructure (when separate from fossil fuel filling stations and garages)	Compatible	
	Infrastructure	Public walking and cycling infrastructure and cycling schemes	Compatible	
		Bus rapid transit systems	Compatible	
Road Freight	Lorries and trucks	Vehicles with no direct emissions (electric or hydrogen)	Compatible	

Sector	Asset Type	Asset Specifics	Paris Agreement Compliant	Screening Indicator
Cross Cutting		ICT that improves asset utilisation, flow and modal shift, regardless of transport mode (public transport information, car-sharing schemes, smart cards, road charging systems, etc)	Compatible if compliant with screening indicator	Must deliver substantial GHG emissions savings on either a passenger/km or a tonne/km basis
		Intermodal freight facilities	Compatible if compliant with screening indicator	
		Terminals to improve journey times	Compatible if compliant with screening indicator	
		Smart freight logistics	Compatible if compliant with screening indicator	
		Multi-modal logistics hubs	Compatible if compliant with screening indicator	
		Integration of transport and urban development planning	Compatible if compliant with screening indicator	
Shipping	Vessels	Zero emissions vessels	Compatible	Use of low GHG fuel (e.g. hydrogen, ammonia, electric, high % of biofuel), delivering required emissions intensity thresholds gCO2e/tonne/km
Miscellaneous Vehicles	Vehicles	Zero direct emissions miscellaneous vehicles such as waste collection vehicles or construction vehicles	Compatible	
	Supply chain facilities	Dedicated manufacturing facilities for vehicles and key components, such as batteries, being used in eligible vehicles	Compatible	
	Infrastructure	Dedicated charging and alternative fuel infrastructure (when separate from fossil fuel filling stations and garages)	Compatible	

Sector	Asset Type	Asset Specifics	Paris Agreement Compliant	Screening Indicator
WATER				
Water infrastructure	Water monitoring	Smart networks, early warning systems for storms, droughts, floods or dam failure, water quality or quantity monitoring processes	Compatible	
	Water storage	Rainwater harvesting systems, storm water management systems, water distribution systems, infiltration ponds, aquifer storage, groundwater recharge systems, sewer systems, pumps, sand dams	Compatible if compliant with screening indicator	No net GHG emissions are expected, and the issuer discloses the justification for this decision with supporting documentation OR Negative net GHG emissions are expected, and the issuer has estimated and delivered the GHG mitigation impact that will be delivered over the operational lifetime of the project or asset
	Water treatment	Drinking water treatment, water recycling systems, wastewater treatment facilities, manure and slurry treatment facilities Ecological retention system, current force reduction mechanisms	Compatible if compliant with screening indicator	
	Water distribution	Rainwater harvesting systems, gravity fed canal systems, pumped canal or water distribution systems, terracing systems, drip, flood and pivot irrigation systems	Compatible if compliant with screening indicator	
	Water desalination	Seawater desalination plants and brackish water desalination plants	Compatible if compliant with screening indicator	The average carbon intensity of energy used to power the plant must be at or below 100g CO2/kWh over the remaining lifetime of the asset
	Flood defences	Surge barriers, pumping stations, levees, gates	Compatible	
	Nature based solutions	Water storage from aquatic ecosystems, aquifer storage, snowpack runoff, groundwater recharge systems, riparian wetlands	Compatible if compliant with screening indicator	
Flood defences by ecological retention, restoration of		Compatible if compliant with screening indicator		

Sector	Asset Type	Asset Specifics	Paris Agreement Compliant	Screening Indicator
		riparian wetlands, relocation of assets		No net GHG emissions are expected, and the issuer discloses the justification for this decision with supporting documentation OR Negative net GHG emissions are expected, and the issuer has estimated and delivered the GHG mitigation impact that will be delivered over the operational lifetime of the project or asset
		Drought defences by aquifer storage, recharge zone management, wetland management	Compatible if compliant with screening indicator	
		Water treatment by natural filtration systems, forest and fire management	Compatible if compliant with screening indicator	
		Stormwater management by permeable surfaces, erosion control systems, evapotranspiration systems	Compatible if compliant with screening indicator	

Sector	Asset Type	Asset Specifics	2 Degree Compliant	Screening Indicator
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BUILDINGS

Buildings	Commercial buildings	Including offices, hotels, retail buildings, public buildings, educational buildings, healthcare buildings, data centres, industrial buildings	Compatible if compliant with screening indicator	An emissions footprint in the top 15% of emissions performance in the local market OR A substantial reduction in gCO2/m2 because of upgrade or retrofit
	Residential Buildings	Private dwellings	Compatible if compliant with screening indicator	
		Multifamily residential buildings		

Industry		Facilities dedicated to manufacturing key components for eligible facilities	Compatible if compliant with screening indicator	Eligible if dedicated to an eligible asset type e.g. solar panel or wind turbine manufacture
	Manufacturing facilities	Facilities dedicated to manufacturing energy efficient appliances and equipment e.g., fridges, cookers etc	Compatible if compliant with screening indicator	Energy efficiency rating amongst top performers in the market
	Energy efficiency	Facilities dedicated to manufacturing energy efficient components	Compatible if compliant with screening indicator	Energy efficiency rating amongst top performers in the market

Sector	Asset Type	Asset Specifics	Paris Agreement Compliant	Screening Indicator
	Low carbon building materials	Low carbon and alternative building materials such as alternatives to cement and concrete	Compatible if compliant with screening indicator	Energy efficiency rating amongst top performers in the market

Sector	Asset Type	Asset Specifics	2 Degree Compliant	Screening Indicator
WASTE AND POLLUTION CONTROL				
Waste Storage	Waste storage facilities	Storage and bulking facilities	Compatible	Dedicated to eligible waste processing asset(s) downstream. Those downstream assets do not need to be certified but do need to meet the criteria for that asset type. All waste stored must be transferred to those assets
Biological Treatment Facilities	Anaerobic digestion facilities	Facilities for the production of biogas from green waste	Compatible	Total methane emissions <= 1285g CH4/ tonne of waste input. Woody waste must be segregated before or after processing and sent to an eligible EfW or composting plant. Monitoring, sampling and control of the following is carried out in accordance with PAS110 guidance. The solid and liquid products are not landfilled and replace non-waste materials in the market
	Composting facilities	Facilities for the production of compost from residual waste	Compatible	Zero measurable methane emissions. Monitoring, sampling and control is carried out in accordance with PAS100 guidance. The resulting product is not landfilled and replaces non-waste material in the market
Waste to Energy	Waste to energy plants (e.g., incineration, gasification, pyrolysis and plasma)	Facilities for solid waste treatment with production of electricity or heat as a by-product	Compatible if compliant with screening indicator	To Be Agreed with GCF

Annex 4 - Example Firm Fixed Price Subcontract Template (Terms and Clauses)

Separate attachment

Annex 5 - FCDO (DFID) approach to Value for Money (VfM)

Separate attachment